

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
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(Use several sheets if necessary)

Date Submitted to PTO: December 12, 2001

ATTY DOCKET NO.
2001_1284ASERIAL NO.
09/936,323APPLICANT
Tsutomu MINAMI et al.FILING DATE
September 12, 2001

GROUP

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U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|------|------|-------|----------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|----|--------------------|------|---------|-------|----------|-----------------------|
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----------|---|
| AO | Najafi, S.I., et al. "Sol-Gel Glass Waveguide and Grating on Silicon", Journal of Lightwave Technology, vol. 16, no. 9 (1998), pages 1640-1646. |
| AP | Touam, T., et al. "Theoretical and experimental study of ridge waveguides with Bragg grating derived from hybrid sol-gel glasses", SPIE, vol. 3282, pages 17-30. |
| AQ | Andrews, M.P., et al. "Collateral Densification Associated with the Photoresponse of Hybrid Sol-Gel Glasses for Depositing Bragg Gratings on Ridge Waveguides", SPIE, vol. 3282, pages 50-58. |
| EXAMINER | DATE CONSIDERED |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.